

Promise by any person that he or she can expedite a liquor license through influence should be completely disregarded.

To avoid possible financial loss an applicant, or prospective applicant, should consult with the Bureau before making any substantial investment in an establishment that now is, or may be, attended by a liquor license.



Present License Expires _____
Department of Public Safety
Licensing and Inspection Unit — Liquor

BUREAU USE ONLY	
License No. Assigned	
Class License Assigned	
Deposit Date	
Amount Deposited	

Please Indicate Type of License

BY A CHECK MARK IN PROPER BOXES

INDICATE TYPE OF PRIVILEGE:

☒ MALT

☒ SPIRITUOUS

☒ VINOUS

INDICATE TYPE OF PREMISE:

☐ CLUB

☐ CLUB-ON PREMISE CATERING

☐ HOTEL

☐ HOTEL-OPTIONAL FOOD

☐ GOLF CLUB

☐ INDOOR ICE SKATING CLUB

☐ INDOOR TENNIS CLUB

☐ RESTAURANT

☐ TAVERN

☒ RESTAURANT/LOUNGE

☐ CLASS A LOUNGE

☐ OTHER _____

PLEASE REFER TO PAGE 4 FOR FEE SCHEDULE

ALL QUESTIONS MUST BE ANSWERED IN FULL & \$10.00 FILING FEE MUST ACCOMPANY ALL APPLICATIONS

1. APPLICANT(S) - (Sole Proprietor, Corporation,

Limited Liability Co., etc.)

2. BUSINESS NAME (D/B/A)

Place
Bernie's

Shapiro boys, LLC

LOCATION (STREET ADDRESS)

65 GRAY Rd. Unit #3

ADDRESS

7 Knight Hill Rd.

City or Town

FALMOUTH

Zip Code

04105

Falmouth ME 04105

City or Town

State

Zip Code

Mailing Address

65 GRAY Rd.

City or Town

State

Zip Code

FALMOUTH ME 04105

TELEPHONE NUMBER 415-6800

Business Telephone Number 207-792-0579

Federal I.D. #: 26-2116918

Seller's Certificate Number:

3. If premises is a Hotel, indicate number of rooms available for transient guests: 0

4. State amount of gross income from period of last license:

ROOMS \$ 0 FOOD \$ 0 LIQUOR \$ 0

5. Is applicant a Corporation, Limited Liability Co. or Limited Partnership: YES X NO If answer is "YES" complete Supplementary Questionnaire.

6. Do you permit dancing or entertainment on the licensed premises? YES X NO

7. If manager is to be employed, give name: Adam J. Shapiro

8. If business is NEW indicate opening date: 4/15/07

Business Hours: 6-2pm (M-F) 6-1pm (S-S)

9. Business records are located at Bernie's

10. Is/Are applicant(s) citizens of the United States: YES X NO

11. Is/Are applicant(s) residents of the State of Maine? YES X NO

12. List name, date of birth, place of birth for all applicants and manager, if any. Give maiden name, if married.

A. Adam Joshua Shapiro 5-20-69 Portland
Name in Full Date of Birth Place of Birth

B. _____
Name in Full Date of Birth Place of Birth

C. _____
Name in Full Date of Birth Place of Birth

D. _____
Residence address on all of above for previous 5 years. (Limit answer to city & state)

FALMOUTH, MAINE

13. Has applicant(s) or manager ever been convicted of any violation of the law, other than minor traffic violations, of any State of the United States?

YES _____ NO X

Name _____ Date of Conviction _____

Offense _____ Location _____

Disposition _____

14. Will any law enforcement official benefit financially either directly or indirectly in your license, if issued?

YES _____ NO X

If "YES," give name _____

15. Has applicant(s) formerly held a Maine liquor license? YES X NO _____

16. Do applicant(s) own the premises? YES _____ NO X If "NO," give name and address of owner

NORTH RIVER, 65 GRAY RD. LLC 211 Marginal way #2
PORTLAND, ME 0410

17. Describe in detail the premises and/or buildings to be licensed: A restuarante serving
Breakfast, Lunch and possibly dinner & Functions

18. Do applicant(s) have all the necessary permits required by the State Department of Human Services?

YES _____ NO X Applied For 2/29/08

19. What is the distance from the premise to the NEAREST school, school dormitory, church, chapel or parish house, measured from the main entrance of the premise to the main entrance of the school, school dormitory, church, chapel or parish house by the ordinary course of travel? _____ Which of the above is nearest? _____

20. Have you received any assistance financially or otherwise (including any mortgages) from any source other than yourself in the establishment of your business? YES _____ NO X

If "YES," give details _____

21. Has any other person any interest directly or indirectly in your business? YES _____ NO X

If "YES." give details _____

You are hereby authorized to obtain and examine all books, records and tax returns pertaining to the business for which this liquor license is requested, and also such books, records and returns during the year in which any liquor license is in effect.

NOTE: "I understand that false statements made on this form are punishable by law. Knowingly supplying false information on this form is a Class D offense under the Criminal Code, punishable by confinement of up to one year or by monetary fine of up to \$500 or by both."

Signature(s) of Applicant(s) or Corporate Office

NOTICE — SPECIAL ATTENTION

ALL APPLICANTS FOR NEW OR RENEWAL LIQUOR LICENSES MUST CONTACT THEIR MUNICIPAL OFFICIALS OR THE COUNTY COMMISSIONERS IN UNINCORPORATED PLACES FOR APPROVAL OF THEIR APPLICATION FOR LIQUOR LICENSES PRIOR TO SUBMITTING THEM TO THE BUREAU. THIS APPROVAL EXPIRES IN 60 DAYS.

FEE SCHEDULE

Class I	— Spirituous, Vinous & Malt*	\$ 900.00
Class I-A	— Spirituous, Vinous & Malt*, Optional Food (Hotels Only)	\$1,100.00
Class II	— Spirituous Only*	\$ 550.00
Class III	— Vinous Only*	\$ 220.00
Class IV	— Malt Liquor Only*	\$ 220.00
Class V	— Spirituous, Vinous & Malt* (Clubs without Catering)	\$ 495.00
Class X	— Spirituous, Vinous & Malt* Class A Lounge	\$2,200.00
Class XI	— Spirituous, Vinous & Malt* Restaurant/Lounge	\$1,500.00
*Filing Fee must accompany all applications		\$ 10.00

Every applicant for an original or renewal liquor license shall also remit with his application a filing fee of \$10.00, except in unorganized places the filing fee of \$10.00 shall be paid to the county treasurer of the county in which the unincorporated place is located, and all such applicants for license in unincorporated places shall be accompanied by evidence of payment of filing fee to the county treasurer.

CLASS I LICENSE: The following premises shall be eligible for a Class I License — Spirituous, Vinous and Malt Beverages: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; and Vessels.

CLASS I-A LICENSE: The following premises shall be eligible for a Class I-A License — Spirituous, Vinous and Malt Beverages: Hotels only that do not serve three meals a day.

CLASS II LICENSE: The following premises shall be eligible for a Class II License — Spirituous Only: Airlines, Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; and Vessels.

CLASS III LICENSE: The following premises shall be eligible for a Class III License — Vinuous Only: Airlines, Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; Restaurants; and Vessels.

CLASS IV LICENSE: The following premises shall be eligible for a Class IV License — Malt Beverages Only: Airlines; Civic Auditoriums; Class A Restaurants; Clubs with catering privileges; Dining Cars; Golf Clubs; Hotels; Indoor Ice Skating Clubs; Indoor Tennis Clubs; Restaurants; and Taverns.

CLASS V LICENSE: The following premises shall be eligible for a Class V License — Spirituous, Vinous and Malt Beverages: Clubs without catering privileges.

CLASS X LICENSE: The following premises shall be eligible for a Class X License — Spirituous, Vinous and Malt Beverages: Class A Lounge.

CLASS XI LICENSE: The following premises shall be eligible for a Class XI License — Spirituous, Vinous and Malt Beverages: Restaurant/Lounge.

ALL FEES MUST ACCOMPANY APPLICATION AND BE MADE PAYABLE TO: TREASURER, STATE OF MAINE. PAYMENTS BY CHECK SUBJECT TO PENALTY PROVIDED BY SEC. 3 OF TITLE 28A, MAINE REVISED STATUTES.

DEPARTMENT OF PUBLIC SAFETY, LICENSING AND INSPECTION UNIT-LIQUOR, 164 STATE HOUSE STATION, AUGUSTA, MAINE 04333-0164. TEL. (207) 624-8745

STATE OF MAINE

_____, ss. Dated at _____, Maine
ON _____ 19 _____

The undersigned being Municipal Officers of the _____ city
County Commissioners of the _____ town
_____ plantation
_____ unincorporated place

hereby certify that we have given public notice on this application and held public hearing thereon as required by Section 653 Title 28A, Maine Revised Statutes and hereby approve said application in accordance with the provisions of Sections 654 and 1051, Title 28A, Maine Revised Statutes as amended.

THIS APPROVAL EXPIRES IN 60 DAYS

**NOTICE
SPECIAL ATTENTION**

§ 653 Hearings; bureau review; appeal

1. Hearings. The municipal officers or, in the case of unincorporated places, the county commissioners of the county in which the unincorporated place is located, shall hold a public hearing for the consideration of applications for new licenses requiring their approval and applications for transfer of location. The municipal officers or county commissioners may hold a public hearing for the consideration of request for renewal of licenses.

A. The bureau shall prepare and supply application forms.

B. The municipal officers or the county commissioners, as the case may be, shall provide public notice of any hearing held under this section by causing a notice, at the applicant's prepaid expense, stating the name and place of hearing, to appear on at least 3 consecutive days before the date of hearing in a daily newspaper having general circulation in the municipality where the premises are located or one week before the date of the hearing in a weekly newspaper having general circulation in the municipality where the premises are located.

C. If the municipal officers or the county commissioners, as the case may be, fail to take final action on an application for a new on-premise license, for transfer of the location of an existing on-premise license or for renewal of an on-premise license within 60 days of the filing of an application, the application is deemed approved and ready for action by the bureau. For purposes of this paragraph, the date of filing of the application is the date the application is received by the municipal officers or county commissioners. This paragraph applies to all applications pending before municipal officers or county commissioners as of the effective date of this paragraph as well as all applications filed on or after the effective date of this paragraph.

D. If an application is approved by the municipal officers or the county commissioners but the bureau finds, after inspection of the premises and the records of the applicant, that the applicant does not qualify for the class of license applied for, the bureau shall notify the applicant of that fact in writing. The bureau shall give the applicant 30 days to file an amended application for the appropriate class of license, accompanied by any additional license fee, with the municipal officers or county commissioners, as the case may be. If the applicant fails to file an amended application within 30 days, the original application must be denied by the bureau. The bureau shall notify the applicant in writing of its decision to deny the application including the reasons for the denial and the rights of appeal of the applicant.

2. Findings. In granting or denying an application, the municipal officers or the county commissioners shall indicate the reasons for their decision and provide a copy to the applicant. A license may be denied on one or more of the following grounds:

A. Conviction of the applicant of any Class A, Class B or Class C crime;

B. Non compliance of the licensed premises or its use with any local zoning ordinance or other land use ordinance not directly related to liquor control;

C. Conditions of record such as waste disposal violations, health or safety violations or repeated parking or traffic violations on or in the vicinity of the licensed premises and caused by persons patronizing or employed by the licensed premises or other such conditions caused by persons patronizing or employed by the licensed premises which unreasonably disturb, interfere with or affect the ability of persons or business residing or located in the vicinity of the licensed premises to use their property in a reasonable manner;

D. Repeated incidents of record of breaches of the peace, disorderly conduct, vandalism or other violations of law on or in the vicinity of the licensed premises and caused by persons patronizing or employed by the licensed premises; and

E. A violation of any provision of this Title.

3. Appeal to bureau. Any applicant aggrieved by the decision of the municipal officers or county commissioners under this section may appeal to the bureau within 15 days of the receipt of the written decision of the municipal officers or county commissioners. The bureau shall hold a public hearing in the city, town or unincorporated place where the premises are situated. In acting on such an appeal, the bureau may consider all licensure requirements and findings referred to in subsection 2.

A. If the decision appealed from was to deny the application, the bureau may reverse the decision if it was arbitrary or based on an erroneous finding.

B. If the decision appealed from is an application denial, the bureau may issue the license only if it finds clear and convincing evidence that the decision was without justifiable cause.

5. Appeal to Administrative Court. Any person or governmental entity aggrieved by a bureau decision under this section may appeal the decision to the Administrative Court within 30 days of receipt of the written decision of the bureau.

An applicant who files an appeal or who has an appeal pending shall pay the annual license fee the applicant would otherwise pay. Upon resolution of the appeal, if an applicant's license renewal is denied, the bureau shall refund the applicant the prorated amount of the unused license fee.

MAINE DEPT OF PUBLIC SAFETY

STATE OF MAINE
Liquor Licensing & Inspection Division
164 State House Station
Augusta ME 04333-0164
Tel: (207) 624-7220 Fax: (207) 287-3424



SUPPLEMENTARY QUESTIONNAIRE FOR CORPORATE APPLICANTS, LIMITED LIABILITY COMPANIES, AND LIMITED PARTNERSHIPS

1. Exact Corporate Name: Shapiro Boys, LLC.
Business D/B/A Name: Bernie's Place
2. Date of Incorporation: 3/7/08
3. State in which you are incorporated: Maine
4. If not a Maine Corporation, date corporation was authorized to transact business within the State of Maine: _____
5. List the name and addresses for previous 5 years, birth dates, titles of officers, directors and list the percent of stock owned:

Name	<i>Print Clearly</i> Address Previous 5 years	Birth Date	% of Stock	Title
Adam Shapiro	FALMOUTH, ME.	5.20.68	100	Pres.

6. What is the amount of authorized stock? 100 Outstanding Stock? -
7. Is any principal officer of the corporation a law enforcement official? Yes ☐ No ☒
8. Has applicant(s) or manager ever been convicted of any violation of the law, other than minor traffic violations, of the United States? Yes ☐ No ☒
9. If YES, please complete the following: Name: _____

Date of Conviction: _____ Offense: _____
Location: _____ Disposition: _____
Dated at: _____ On: _____
City/Town _____ Date _____

Signature of Duly Authorized Officer

Adam J. Shapiro
Print Name of Duly Authorized Officer

3.9.08
Date